

**BID OPENING**  
**CITY OF SAN JOSE**  
**OFFICE OF THE CITY CLERK**

RECEIVED  
San Jose City Clerk  
City Clerk  
Time Stamp MAY 13 P 2:51

TOTAL BASE BID 11,300,000

ALT NO. 1 \_\_\_\_\_

ALT NO. 2 \_\_\_\_\_

ALT NO. 3 \_\_\_\_\_

Alt No. 4 \_\_\_\_\_

Alt No. 5 \_\_\_\_\_

BID DATE: Thursday, May 13, 2010

Project Manager: Amit Mutsuddy ~ 945.5166

**SJ/SC WPCP Switchgear M1, M2 & M3**  
**Replacement Design-Build Project**  
**FY 2009-2010**

BIDDER'S NAME: Shimmer Construction

Bond ☒ Cashier's Check \_\_\_\_\_

Addendums Included ( )

1, 2, 3

YES ☒ NO \_\_\_\_\_

Non-Collusion Affidavit

YES ☒ NO \_\_\_\_\_



**PROPOSAL TO CITY OF SAN JOSE**  
**FOR**  
**SWITCHGEARS M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT**

Name of Bidder: Shimmick Construction Company, Inc.

The representations herein are made under penalty of perjury.

To: The City of San Jose, State of California

The undersigned, as bidder, declares that the only person or parties interested in this proposal as principals are those named herein; that this proposal is made without collusion with any other person, firm or corporation; that the bidder has thoroughly read and examined and has full knowledge of and understands all the provisions and contents of this proposal and the documents which must be attached hereto, the Plans approved by the Director of Environmental Services on **April 9, 2010**, entitled **Switchgears M1, M2 & M3 Replacement Design-Build Project** and the Specifications approved by the Director of Environmental Services on **April 9, 2010**, entitled **Switchgears M1, M2 & M3 Replacement Design-Build Project** on file in the office of the Director of Environmental Services of the City of San Jose in City Hall, San Jose, California; that the bidder has thoroughly examined said Plans and Specifications which are on file in the office of the Director of Environmental Services, and that the bidder has full knowledge of and understands said Plans and Specifications and the requirements thereof; and that the bidder has further read and understands, and has knowledge of the contents of any and all addenda to said Plans and Specifications on file; and that the bidder proposes and agrees, if this proposal is accepted, that the bidder will contract with the City of San Jose, in the form of the copy of the contract on file in the office of the Director of Environmental Services, to do all the work and furnish all materials specified or referred to in the contract, in the manner and time therein prescribed, and according to the requirements of the City or Director of Environmental Services as therein set forth, to furnish the contract, bonds and insurance specified in the Specifications, and to do all other things required of the Contractor by the contract; and will take in full payment therefor the following price or unit prices as shown in the Schedule of Quantities on the next page(s).

If the bidder or other interested persons is a corporation, state legal name of corporation, also names of the President, Secretary, Treasurer, and the Manager thereof; if a partnership, state the name of the partnership, if one exists, also the names of all the partners comprising the partnership; if any of the partners are individuals, state the first and last name of every individual in full, if any of the partners are corporations, state for each such corporation, the information required above of corporations; if any of the partners are partnerships, state for each such partnership the information required above of partners; if the bidder or other interested person is a joint-venture, state the name of the joint venture, also names of all joint venturers comprising the joint venture; if any of the joint venturers are individuals, state the first and last name of every individual comprising the joint venture; if any of the joint venturers are corporations, state for each corporation the information required above of corporations; if any of the joint venturers are partnerships, state for each such partnership, the information required above of partners; if bidder or other interested persons is an individual, state first and last names in full.

If bidder is an individual, the bidder's signature shall be placed below; if bidder is an individual, doing business under a fictitious name, the name of the individual followed by the words "doing business under (insert the fictitious name)" shall be set forth above, together with the signature of the individual; if bidder is a corporation, the legal name of the corporation shall be set forth above, together with the signature of the officer or officers of the corporation, authorized to sign contracts on behalf of the corporation, the corporate title; that is Vice-President, Secretary, etc., should be placed below the name of the officer and the corporate seal affixed; if bidder is a partnership, the legal name of the partnership, if one exists, shall be set forth above, together with the signature of the partner or partners authorize to sign contracts on behalf of the partnership; if any of the partners are corporations, execution for such partners shall be accomplished

# SCHEDULE OF QUANTITIES

for  
San Jose/Santa Clara Water Pollution Control Plant  
Switchgears M1, M2 & M3 Replacement Design-Build Project  
FY 2009-10 Capital Improvement Program

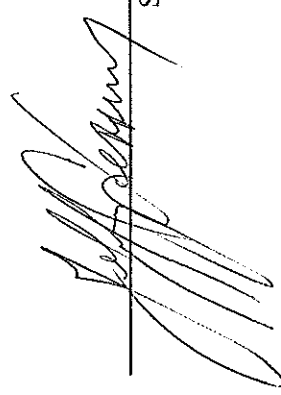
Bid				
Item	Description	Estimated Quantity	Unit Price (In Figures)	Item Price (In Figures)
1	Design and Construction of Switchgears M1, M2 & M3 Replacement	Lump Sum	N/A	11,300,000.

Total Lump Sum Bid Amount in Figures \$11,300,000 -

Total Lump Sum Bid Amount in Words eleven million three hundred thousand

Basis of bid evaluation: See Section 3-1.01 of the Special Provisions. The bid shall include all Federal, State, and other taxes, and shall be firm for a period of 120 days after bid opening. All bid items must be filled in completely.

BIDDER: Shimmick Construction Company, Inc.  
Company

  
Signature

in accordance with the requirements set forth above for corporations; if any of the partners are partnerships, execution for such partners shall be accomplished in accordance with the requirements set forth above for partnership; if bidder is a joint-venture, the legal name of the joint venture, if one exists, shall be set forth above for partnerships. If signature is by an agent other than an officer of a corporation, or member of a partnership or a joint venture, a Power of Attorney must be on file with the City Clerk prior to opening bids or submitted with the bid; otherwise, the bid may, at the City's option, be disregarded as non-responsive.

If this proposal shall be accepted and the undersigned shall fail to contract, and to give the Contractor's Bond For Faithful Performance and the Contractor's Payment Bond required by the specifications and contract and by law, and to provide all insurance as required by said contract, within eight (8) days after the bidder has received notice from the City of San Jose, the City may, at its option, determine that the bidder has abandoned his/her contract, and thereupon this proposal and the acceptance thereof shall be null and void, and the forfeiture of such security accompanying this proposal shall operate and the same shall be the property of the City of San Jose.

In accordance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding on contempt of court by a federal court has been issued against the Contractor within the immediately preceding two year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board. Signing this Proposal on the signature portion thereof shall constitute signature of this Statement.

Accompanying this proposal are the following documents completely filled in by the bidder and the same are incorporated herein by reference;

1. Cash, a cashier's check or a certified check made payable to City, or a bidder's bond executed by an admitted surety insurer naming the City as beneficiary, in an amount equal to at least ten percent (10%) of the total amount bid including all alternatives.
2. A "List of Subcontractors".
3. A "Statement of Qualifications".

City may at its option, request additional supplemental information after bid opening.

Bidder understands that the City reserves the right to reject any or all bids and to waive any informalities in the bidding.

The undersigned, as bidder, declares that in listing subcontractors in this bid, I have not discriminated or given any preference to any firm based on race, sex, color, age, religion, sexual orientation, actual or perceived gender identity, disability, ethnicity, or national origin. I understand that any such discrimination or preference is in violation of Chapter 4.08 of the Municipal Code.

Execution of the Non-Collusion Affidavit constitutes execution of this Bid Proposal including the above statement of nondiscrimination and, with the exception of the Bidder's Bond or Addenda, if any, no other signatures will be required.



# NONCOLLUSION AFFIDAVIT

Project Title: Switchgears M1, M2 & M3 Replacement Design-Build Project

Jeffrey C. Lessman

(print name)

, being first duly sworn, deposes and says that he/she is

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof the effectuate a collusive or sham bid.

In accordance with Title 23, United States Code, Section 112, the bidder hereby states, under penalty of perjury, that he/she has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this contract. Bidders are cautioned that making a false certification may subject certifier to criminal prosecution.

The undersigned declares under penalty of perjury that the information contained in this proposal and all accompanying documents are true and correct.

Executed on May 13, 2010

Shimmick Construction Company, Inc.  
Legal Company Name

City Business Lic. No.: Will Apply

Expiration Date: N/A

State Contractor Lic. No.: 594575

Classification: A, B, C10, C13, HAZ

Expiration Date: May 31, 2012

Federal I. D. No.: 94-3107390

Address: 8201 Edgewater Drive, Suite 202  
Oakland, CA 94621

"S" Corporation  
Indicate Type of Entity: Sole Proprietorship,  
Partnership (General/Limited Partners),  
Corporation, Joint Venture, etc.

Telephone: 510-777-5000

By: Executive Vice President

Title:

\*\*\*\*\*

NOTARY

On May 13, 2010 before me, Jessica R. Ganem, Notary Public, personally appeared

(name and title of officer)

Jeffrey C. Lessman

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under the PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Jessica R. Ganem  
Notary Public

(Seal)



## **BIDDER'S BOND**

KNOW ALL PERSONS BY THESE PRESENTS:

That we, Shimmick Construction Company, Inc. as PRINCIPAL, and Safeco Insurance Company of America; \*, a corporation duly organized under the laws of the State of Washington; \*\* and duly licensed to become sole surety on bonds required or authorized by the State of California, as SURETY, are held and firmly bound unto the City of San Jose (hereinafter called the "City"), in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE BID of the Principal above named, submitted by said Principal to the City of San Jose, for the work described below; for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents. In no case shall the liability of the Surety hereunder exceed the sum of Ten Percent (10%) of Total Amount Bid - - DOLLARS (\$ \_\_\_\_\_).

THE CONDITION OF THIS OBLIGATION IS SUCH,

That whereas the Principal has submitted the above mentioned bid to the City of San Jose, for certain construction specifically described as follows, for which bids are to be opened in the Office of the City Clerk, City of San Jose, City Hall, 200 E. Santa Clara St., Wing 2nd Fl., San Jose, CA 95113, on **May 13, 2010 for Switchgears M1, M2 & M3 Replacement Design-Build Project.**

NOW, THEREFORE, if the aforesaid Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to Principal for signature, enters into a written contract, in the prescribed forms, in accordance with the bid, and files a Faithful Performance Bond and a Contractor's Payment Bond, and files the required insurance policies with the City, all as required by the specifications and the contract or by law, then the obligation shall be null and void; otherwise it shall be and remain in full force and effect.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of the time within which the Owner may accept such Bid; and said Surety does hereby waive notice of any such extension.

In the event suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

- \* Fidelity and Deposit Company of Maryland
- \*\* Maryland





IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 11th  
day of May, 2010.

PRINCIPAL

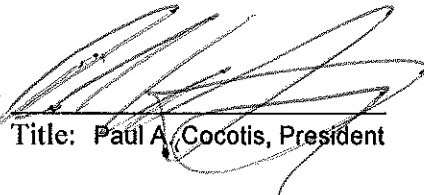
SURETY

Shimmick Construction Company, Inc.  
Legal Company Name

Safeco Insurance Company of America  
Legal Company Name


Corporation  
Indicate Type of Entity

By:   
David Alvarado, Attorney-In-Fact

By:   
Title: Paul A. Cocotis, President

Fidelity and Deposit Company of Maryland

By President  
Title:

By:   
David Alvarado, Attorney-In-Fact

(Affix Corporate Seals)

(Attach Acknowledgments of both Principal and Surety signatures)



# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Francisco

On May 11, 2010 before me, Katherine G. Zerounian, Notary Public  
Date Here Insert Name and Title of the Officer

personally appeared David Alvarado  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature]  
Signature of Notary Public

Place Notary Seal Above



## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Bid Bond

Document Date: May 11, 2010 Number of Pages: Two

Signer(s) Other Than Named Above: None

### Capacity(ies) Claimed by Signer(s)

Signer's Name: David Alvarado

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☒ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer Is Representing: \_\_\_\_\_  
Safeco Insurance Company of America;  
Fidelity and Deposit Company of Maryland

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer Is Representing: \_\_\_\_\_

THE UNIVERSITY OF CHICAGO  
LIBRARY  
1000 S. MICHIGAN AVE.  
CHICAGO, ILL. 60607  
U.S.A.



POWER  
OF ATTORNEY

Safeco Insurance Company of America  
General Insurance Company of America  
1001 4th Avenue  
Suite 1700  
Seattle, WA 98154

No. 13298

KNOW ALL BY THESE PRESENTS:

That **SAFECO INSURANCE COMPANY OF AMERICA** and **GENERAL INSURANCE COMPANY OF AMERICA**, each a Washington corporation, does each hereby appoint

\*\*\*\*\***DAVID ALVARADO; PAMELA PARIDY; K. ZEROUNIAN; Oakland, California**\*\*\*\*\*

its true and lawful attorney(s)-in-fact, with full authority to execute on its behalf fidelity and surety bonds or undertakings and other documents of a similar character issued in the course of its business, and to bind the respective company thereby.

IN WITNESS WHEREOF, **SAFECO INSURANCE COMPANY OF AMERICA** and **GENERAL INSURANCE COMPANY OF AMERICA** have each executed and attested these presents

this 21st day of March, 2009

*Dexter R. Legg*

*Timothy A. Mikolajewski*

Dexter R. Legg, Secretary

Timothy A. Mikolajewski, Vice President

CERTIFICATE

Extract from the By-Laws of **SAFECO INSURANCE COMPANY OF AMERICA**  
and of **GENERAL INSURANCE COMPANY OF AMERICA**:

"Article V, Section 13. - FIDELITY AND SURETY BONDS ... the President, any Vice President, the Secretary, and any Assistant Vice President appointed for that purpose by the officer in charge of surety operations, shall each have authority to appoint individuals as attorneys-in-fact or under other appropriate titles with authority to execute on behalf of the company fidelity and surety bonds and other documents of similar character issued by the company in the course of its business... On any instrument making or evidencing such appointment, the signatures may be affixed by facsimile. On any instrument conferring such authority or on any bond or undertaking of the company, the seal, or a facsimile thereof, may be impressed or affixed or in any other manner reproduced; provided, however, that the seal shall not be necessary to the validity of any such instrument or undertaking."

Extract from a Resolution of the Board of Directors of **SAFECO INSURANCE COMPANY OF AMERICA**  
and of **GENERAL INSURANCE COMPANY OF AMERICA** adopted July 28, 1970,

"On any certificate executed by the Secretary or an assistant secretary of the Company setting out,

- (i) The provisions of Article V, Section 13 of the By-Laws, and
- (ii) A copy of the power-of-attorney appointment, executed pursuant thereto, and
- (iii) Certifying that said power-of-attorney appointment is in full force and effect,

the signature of the certifying officer may be by facsimile, and the seal of the Company may be a facsimile thereof."

I, **Dexter R. Legg**, Secretary of **SAFECO INSURANCE COMPANY OF AMERICA** and of **GENERAL INSURANCE COMPANY OF AMERICA**, do hereby certify that the foregoing extracts of the By-Laws and of a Resolution of the Board of Directors of these corporations, and of a Power of Attorney issued pursuant thereto, are true and correct, and that both the By-Laws, the Resolution and the Power of Attorney are still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the facsimile seal of said corporation

this 1th day of May, 2010



*Dexter R. Legg*

Dexter R. Legg, Secretary



**Power of Attorney  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

KNOW ALL MEN BY THESE PRESENTS: That the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, a corporation of the State of Maryland, by THEODORE G. MARTINEZ, Vice President, and ERIC D. BARNES, Assistant Secretary, in pursuance of authority granted by Article VI, Section 2, of the By-Laws of said Company, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof does hereby nominate, constitute and appoint Mark ROPPO, David ALVARADO, Katherine G. ZEROUNIAN and Pamela PARIDY, all of Oakland, California, EACH its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: ~~any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Company, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its office in Baltimore, Md., in their own proper persons.~~

The said Assistant Secretary does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article VI, Section 2, of the By-Laws of said Company, and is now in force.

IN WITNESS WHEREOF, the said Vice-President and Assistant Secretary have hereunto subscribed their names and affixed the Corporate Seal of the said FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 8th day of August, A.D. 2008.

ATTEST:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND



*Eric D. Barnes*

By:

*Theodore G. Martinez*

Eric D. Barnes

Assistant Secretary

Theodore G. Martinez

State of Maryland }  
City of Baltimore } ss:

On this 8th day of August, A.D. 2008, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, came THEODORE G. MARTINEZ, Vice President, and ERIC D. BARNES, Assistant Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and they each acknowledged the execution of the same, and being by me duly sworn, severally and each for himself depose and saith, that they are the said officers of the Company aforesaid, and that the seal affixed to the preceding instrument is the Corporate Seal of said Company, and that the said Corporate Seal and their signatures as such officers were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



*Dennis R. Hayden*

Dennis R. Hayden

Notary Public

My Commission Expires: February 1, 2009

## EXTRACT FROM BY-LAWS OF FIDELITY AND DEPOSIT COMPANY OF MARYLAND

"Article VI, Section 2. The Chairman of the Board, or the President, or any Executive Vice-President, or any of the Senior Vice-Presidents or Vice-Presidents specially authorized so to do by the Board of Directors or by the Executive Committee, shall have power, by and with the concurrence of the Secretary or any one of the Assistant Secretaries, to appoint Resident Vice-Presidents, Assistant Vice-Presidents and Attorneys-in-Fact as the business of the Company may require, or to authorize any person or persons to execute on behalf of the Company any bonds, undertaking, recognizances, stipulations, policies, contracts, agreements, deeds, and releases and assignments of judgements, decrees, mortgages and instruments in the nature of mortgages,...and to affix the seal of the Company thereto."

### CERTIFICATE

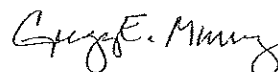
I, the undersigned, Assistant Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that the Vice-President who executed the said Power of Attorney was one of the additional Vice-Presidents specially authorized by the Board of Directors to appoint any Attorney-in-Fact as provided in Article VI, Section 2, of the By-Laws of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed."

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seal of the said Company,

this 11<sup>TH</sup> day of MAY, 2010.



*Assistant Secretary*



# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Alameda

On May 12, 2010 before me, Jessica R. Ganem, Notary Public,  
Date Here Insert Name and Title of the Officer

personally appeared Paul A. Cocotis  
Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal and/or Stamp Above

Signature: Jessica R. Ganem

Signature of Notary Public

## OPTIONAL

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_ Signer's Name: \_\_\_\_\_

☐ Corporate Officer — Title(s): \_\_\_\_\_ ☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Individual ☐ Partner — ☐ Limited ☐ General ☐ Individual ☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact ☐ Attorney in Fact

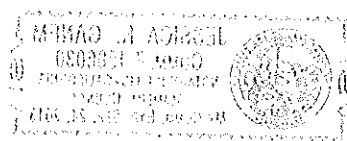
☐ Trustee ☐ Trustee

☐ Guardian or Conservator ☐ Guardian or Conservator

☐ Other: \_\_\_\_\_ ☐ Other: \_\_\_\_\_

\_\_\_\_\_

Signer Is Representing: \_\_\_\_\_ Signer Is Representing: \_\_\_\_\_



# LIST OF SUBCONTRACTORS

Designation of Subcontractors as required in Section 2-1.15A of the City of San Jose Standard Specifications, July 1992.

NAME OF SUBCONTRACTOR	LOCATION OF PLACE OF BUSINESS	PORTION (DESCRIPTION) OF WORK
HOT LINE CONSTRUCTION (MERGED WITH CALIFORNIA SPLICING AND TESTING.)	BRENTWOOD, CA	CABLE SPLICING



STATEMENT OF QUALIFICATIONS

FOR

SAN JOSE/SANTA CLARA WATER POLLUTION CONTROL PLANT  
SWITCHGEARS M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT  
FY 2009/2010

Bidders shall identify a minimum of three (3) similar projects within the last five (5) years. Bidders must use and submit this form as a part of their bid proposal. Bidders who wish to identify more than five (5) projects may attach additional pages as necessary to this form. Please note that resumes and/or reference lists may not be submitted in lieu of this form.

All work experience identified in this STATEMENT OF QUALIFICATIONS form must have been completed by the same contractor who is submitting the bid proposal.

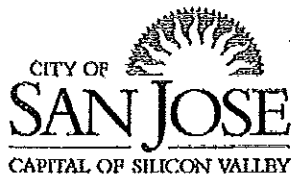
PROJECT NO. 1

<u>Robert A. Skinner Plant Oxidation Retrofit Program &amp; Washwater Reclamation Plant No. 3</u>	<u>Date Started</u>	<u>Date Ended</u>	<u>Owner Name and Address</u>	<u>Name and Telephone No. of Person with Personal Knowledge of Bidder's Work on the Project (e.g. Project Manager)</u>
	<u>July 2005</u>	<u>July 2009</u>	<u>Metropolitan Water Dist. 33740 Eorel Road Winchester, CA 92596</u>	<u>Robert Haggerty (951)440-8312</u>

PROJECT NO. 2

<u>OCSD P280 &amp; P281 Primary Sludge Feed System &amp; Replacement of Primary Clarifiers</u>	<u>Date Started</u>	<u>Date Ended</u>	<u>Owner Name and Address</u>	<u>Name and Telephone No. of Person with Personal Knowledge of Bidder's Work on the Project (e.g. Project Manager)</u>
	<u>June 2007</u>	<u>March 2010</u>	<u>Orange County Sanitation District 22212 Brookhurst Huntington Beach, CA 92646</u>	<u>Steve Bomkamp (714)593-7725</u>

<u>PROJECT NO. 3</u>	<u>Date Started</u>	<u>Date Ended</u>	<u>Owner Name and Address</u>	<u>Name and Telephone No. of Person with Personal Knowledge of Bidder's Work on the Project (e.g. Project Manager)</u>
MUNI Traction Power Feeder Circuits Upgrade	June 2009	June 2010	MUNI/ SFMTA  One South Van Ness Avenue San Francisco, CA 94103	Victor Yuen   (415)760-1238 or (415)706-1142



*Environmental Services Department*  
SAN JOSE/SANTA CLARA WATER POLLUTION CONTROL PLANT

April 26, 2010

**ADDENDUM NO. 1  
TO  
THE PLANS AND SPECIFICATIONS  
FOR  
SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT**

Notice is hereby given that the following revisions, additions and/or deletions are hereby made of, and incorporated into the Plans and Specifications for the **SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT**

**IMPORTANT**

**THIS ADDENDUM MUST BE ACKNOWLEDGED WHEN YOUR BID IS SUBMITTED. FAILURE TO ACKNOWLEDGE THE ADDENDUM MAY CONSTITUTE GROUNDS FOR REJECTION OF THE BID.**

**INSTRUCTIONS:**

This Addendum No. 1, pages 1 through 5, and attachments modifies the Bidding Documents for the SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT, and shall become part of the Contract Documents for this Project.

The Contract Time is not changed

Submit this Addendum #1 package with your bid proposal.

The bidder must sign this addendum in the space provided below and return one signed copy with the bid. Failure to return the signed copy with the bid document shall not relieve the bidder of the obligation to include this addendum in the bid proposal.

Shimmick Construction  
Bidder's Name

[Signature]  
Signature and Title of Bidder

May 13, 2010  
Date

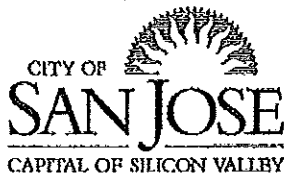
Approved by:

[Signature]  
Bhavani Yerrapotu, P.E.  
Division Manager, Technical Support Services  
SJ/SC Water Pollution Control Plant

THIS ADDENDUM CONTAINS FIVE (5) PAGES  
PLUS ATTACHMENTS SIXTEEN (16) PAGES







*Environmental Services Department*

SAN JOSE/SANTA CLARA WATER POLLUTION CONTROL PLANT

April 30, 2010

**ADDENDUM NO. 2  
TO  
THE PLANS AND SPECIFICATIONS  
FOR  
SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT**

Notice is hereby given that the following revisions, additions and/or deletions are hereby made of, and incorporated into the Plans and Specifications for the **SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT**

**IMPORTANT**

**THIS ADDENDUM MUST BE ACKNOWLEDGED WHEN YOUR BID IS SUBMITTED. FAILURE TO ACKNOWLEDGE THE ADDENDUM MAY CONSTITUTE GROUNDS FOR REJECTION OF THE BID.**

**INSTRUCTIONS:**

This Addendum No. 2, pages 1 through 5, and attachments modifies the Bidding Documents for the SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT, and shall become part of the Contract Documents for this Project.

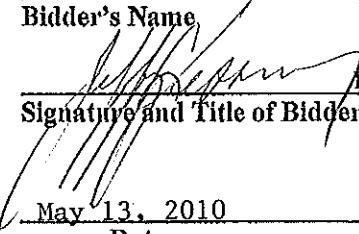
The Contract Time is not changed

Submit this Addendum #2 package with your bid proposal.

The bidder must sign this addendum in the space provided below and return one signed copy with the bid. Failure to return the signed copy with the bid document shall not relieve the bidder of the obligation to include this addendum in the bid proposal.

Shimmick Construction

Bidder's Name


  
Signature and Title of Bidder

Exec. V.P.

May 13, 2010

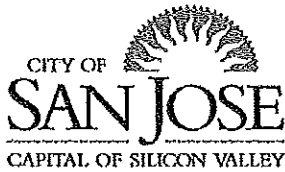
Date

Approved by:

  
Bhavani Yerrapotu, P.E.  
Division Manager, Technical Support Services  
SJ/SC Water Pollution Control Plant

THIS ADDENDUM CONTAINS FIVE (5) PAGES





*Environmental Services Department*  
SAN JOSE/SANTA CLARA WATER POLLUTION CONTROL PLANT

May 7, 2010

**ADDENDUM NO. 3  
TO  
THE PLANS AND SPECIFICATIONS  
FOR  
SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT**

Notice is hereby given that the following revisions, additions and/or deletions are hereby made of, and incorporated into the Plans and Specifications for the **SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT**

**IMPORTANT**

**THIS ADDENDUM MUST BE ACKNOWLEDGED WHEN YOUR BID IS SUBMITTED. FAILURE TO ACKNOWLEDGE THE ADDENDUM MAY CONSTITUTE GROUNDS FOR REJECTION OF THE BID.**

**INSTRUCTIONS:**

This Addendum No. 3, pages 1 through 5, modifies the Bidding Documents for the SWITCHGEAR M1, M2 & M3 REPLACEMENT DESIGN-BUILD PROJECT, and shall become part of the Contract Documents for this Project.

The Contract Time is not changed

Submit this Addendum #3 package with your bid proposal.

The bidder must sign this addendum in the space provided below and return one signed copy with the bid. Failure to return the signed copy with the bid document shall not relieve the bidder of the obligation to include this addendum in the bid proposal.

Shimmick Construction

Bidder's Name

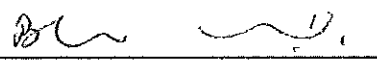
  
Signature and Title of Bidder

Exec. V.P.

May 13, 2010

Date

Approved by:

  
Bhavani Yerrapotu, P.E.  
Division Manager, Technical Support Services  
SJ/SC Water Pollution Control Plant

THIS ADDENDUM CONTAINS FIVE (5) PAGES



**Greg Adams**

---

**From:** Annika Hadler [ahadler@apparatustesting.com]  
**Sent:** Tuesday, May 11, 2010 12:39 PM  
**To:** Greg Adams  
**Cc:** Jim Lawler; Jerry Carr; Lee Madsen  
**Subject:** FW: San Jose/Santa Clara Water Pollution Control Plant  
**Attachments:** ATE - J Carr NETA and Resume.pdf

Per Specification Section 16121- 5 C Testing firm # 6  
Attached is the documentation you may need to submit for our company.

If you should have any questions, please do not hesitate to call.

Thank You,

**Annika Hadler**

**Apparatus Testing & Engineering**

**Mailing Address:**

P.O. Box 984

Folsom, CA 95673-0984

**Office:** 916-853-6280 **Fax:** 916-853-6258

Sacramento Office

11300 Sanders Dr. Suite 29

Rancho Cordova, CA 95742

S.F. Bay Area Office

7083 Commerce Circle

Pleasanton, Ca 94588





## RESUME

Harold H. Carr, PE

### Education:

B.S. Degree- California State University Sacramento  
Electrical and Electronic Engineering with a Power Emphasis  
Associate of Science Mathematics – Yuba College

### Certifications:

Registered Professional Engineer, State of California Lic. #: E17772  
N.E.T.A. Level 4 Certification #: 07-4-11072  
Institute of Electrical and Electronic Engineers (IEEE)  
Engineering in Training (EIT) , State of California

### Employment:

2/2005 – Present	Apparatus Testing and Engineering Field Engineer Perform acceptance and maintenance testing on electrical switchgear and related components. This includes air and vacuum circuit breakers, air and oil insulated transformers, motor control centers, and various types of protective relays.
8/2002 – 2/2005	Hampton Tedder Technical Services Southwest Operations Manager Manager all medium and large scale testing projects for the Southwest Division. Perform complex electrical tests on Switchyard and substation equipment up to 500 kV.
07/2000 – 08/2002	Apparatus Testing and Engineering Field Engineer Test and maintain medium voltage substation components including Relays. Perform acceptance and maintenance testing on electrical Switchgear, including by not limited to, low and medium voltage Circuit breakers, air and fluid transformers.







INTERNATIONAL ELECTRICAL TESTING ASSOCIATION

SETTING THE STANDARD

**NETA CERTIFIED**

Harold Carr  
Senior Test Technician  
Certification #07-4-11072

Level IV  
Exp Jan/2011

Apparatus Testing and Engineering



Valid only when technician is employed by this company



# CERTIFICATE OF ACCREDITATION

Is hereby granted to

**APPARATUS TESTING AND ENGINEERING**

*Rancho Cordova, CA*

by

**INTERNATIONAL ELECTRICAL TESTING ASSOCIATION**

*January 2010 - January 2011*

*Ken Bassett*

Ken Bassett  
Membership Chair



